



ITW

Attorney's Docket No: C1039.70083US06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur M. Krieg et al.  
Serial No.: 10/789,051  
Confirmation No.: 8295  
Filed: February 26, 2004  
For: IMMUNOMODULATORY OLIGONUCLEOTIDES

Examiner: Not yet assigned  
Art Unit: 1651

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 13 day of July, 2004.

Helen C. Lockhart

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Transmitted herewith are the following document(s):

- [X] Request for Correction of Filing Receipt;
- [X] Copy of Filing Receipt with corrections in red ink;
- [X] Copy of previously submitted Application Data Sheet
- [X] Copy of Corrected Filing Receipt from 09/415,142 (C1039.70029US00); and
- [X] Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Respectfully submitted,

Helen C. Lockhart, Reg. No. 39,248  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Tel: (617) 720-3500

Attorney Docket No.: C1039.70083US06  
Date: July 13, 2004  
xNDDx



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Helen C. Lockhart

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Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
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Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

Applicants request that the following information be corrected on the Filing Receipt dated April 9, 2004:

Please correct under the heading "**Domestic Priority data as claimed by applicant**", the following:

This application is a CON of 09/415,142 10/08/1999 to  
This application is a CON of 09/415,142 10/09/1999

The Filing receipt indicates that the "data provided by applicant is not consistent with PTO records". However, after reviewing the Application Data Sheet originally filed with the application (copy provided), we have determined that the correct information was provided and that the error, in fact, is on part of the PTO. Enclosed is copy of the corrected filing receipt mailed from the PTO on June 30, 2004, for related application 09/415,142 (C1039.70029US00), filed October 9, 1999.

Applicants respectfully request that the above-noted change be made, as also appearing in red on the attached Filing Receipt.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 23-2825. A duplicate of this sheet is enclosed. If you have any questions, please do not hesitate to call the undersigned at (617) 720-3500.

Respectfully submitted,

By: \_\_\_\_\_



Helen C. Lockhart, Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210  
Telephone: (617) 720-3500

Docket No.: C1039.70083US06  
Date: July 3, 2004  
xNDDx



HCZ

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/415,142	10/09/1999	1632	1928	C1039/7029		68	5

CONFIRMATION NO. 4378

CORRECTED FILING RECEIPT



\*OC000000013112195\*

HELEN C LOCKHART  
WOLF GREENFIELD & SACKS PC  
600 ATLANTIC AVENUE  
BOSTON, MA 02210

Date Mailed: 06/30/2004

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

ARTHUR M. KRIEG, IOWA CITY, IA;  
Alfred D. Steinberg, Residence Not Provided;  
Dennis Klinman, Residence Not Provided;

Domestic Priority data as claimed by applicant

This application is a DIV of 08/386,063 02/07/1995 PAT 6,194,388

Foreign Applications

CPA filed on: 06/10/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

IMMUNOMODULATORY OLIGONUCLEOTIDES

C1039.700291500

Confirmation	<input type="checkbox"/>	Initials
Docketing	<input checked="" type="checkbox"/>	HCZ

07/08/04

HK

## Preliminary Class

514

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

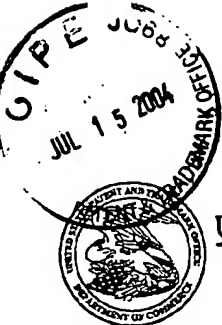
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CL
10/789,051	02/26/2004	1651	770	C1039.70083US06		20	1

Helen C. Lockhart, Ph.D.  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210

CONFIRMATION NO. 8291

## FILING RECEIPT



\*OC000000012326400\*

Date Mailed: 04/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Arthur M. Krieg, Wellesley, MA;  
Dennis Klinman, Potomac, MD;  
Alfred D. Steinberg, Potomac, MD;

Confirmation	<input type="checkbox"/>	Initials
Docketing	<input checked="" type="checkbox"/>	<i>HC</i>
<i>02/26/05/2 - ate</i>		
<i>05/26/05 ✓</i>		
<i>05/09/05 ✓</i>		

## Assignment For Published Patent Application

University of Iowa Research Foundation, Iowa City, IA;  
Coley Pharmaceutical Group, Inc., Wellesley, MA;  
The USA, as represented by the Secretary, Department of Health and Human Services,  
Bethesda, MD;

## Domestic Priority data as claimed by applicant

This application is a CON of 10/690,495 10/21/2003  
which is a CON of 09/415,142 10/08/1999 \* *10/09/1999*  
which is a DIV of 08/386,063 02/07/1995 PAT 6,194,388  
which is a CIP of 08/276,358 07/15/1994 ABN  
(\*)Data provided by applicant is not consistent with PTO records.

DOCKETED

APR 15 2004

## Foreign Applications

If Required, Foreign Filing License Granted: 04/09/2004

Projected Publication Date: 07/22/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Immunomodulatory oligonucleotides

**Preliminary Class**

435

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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